



I hereby certify that this correspondence is being deposited with the U.S.Postal Service as Express Mail, Airbill No. EV553671379US, in an envelope addressed to: MS PCT, Commissioner for Patents P. D. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: October 27, 2005

Signature

Docket No.: BBI-185US

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hans-Juergen Krause et al.

Application No.: 10/525292

Confirmation No.: 6073

Filing Date of

Art Unit: N/A

International Application: August 15, 2003

For: FORMULATION OF HUMAN ANTIBODIES

FOR TREATING TNF-α ASSOCIATED

**DISORDERS** 

Examiner: Not Yet Assigned

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371, mailed July 27, 2005, Applicants respectfully submit an executed Declaration, Petition and Power of Attorney and a surcharge in accordance with 37 CFR 1.492 (e) in response to the Notification. A copy of the Notification is enclosed as well.

Please charge our Deposit Account No. 12-0080 in the amount of \$250.00 covering the fees set forth in 37 CFR 1.17(a)(1) and 1.16(f). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with Application No.: 10/525292 Docket No.: BBI-185US

any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-0080, under Order No. BBI-185US.

Dated: October 27, 2005

Respectfully submitted,

Cristin E. Howley

Registration No.: 55,281

LAHIVE & COCKFIELD, LLP

28 State Street

Boston, Massachusetts 02109

(617) 227-7400

(617) 742-4214 (Fax)

Agent For Applicant

Rec'd PCT/? 2 ? OCT 2005

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08.	/2004			Con	plete if Know		
Fees pursuant to the Consolidated Approp	Application Number 10/525292-Conf. #6073			_			
FEE TRANS	Filing Date February 16, 2005						
For FY 20	First Named Inventor Hans-Juergen KRAUSE						
FOI FI Z	Examiner Name Not Yet Assigned						
Applicant claims small entity state	us. See 37 CFR	1.27	Art Unit N/A				
TOTAL AMOUNT OF PAYMENT	(\$) 250.	.00	Attorney Docket	No.	BBI-185US		
METHOD OF PAYMENT (check	all that apply)						
Check Credit Card Money Order None Other (please identify):							
x Deposit Account Deposit Account	Number: <u>12-008</u>	0 Deposit Acc	ount Name:	Lat	nive & Cockfiel	d, LLP	
For the above-identified dep	osit account, th	e Director is	hereby authoriz	ed to: (che	ck all that apply)		
x Charge fee(s) indicated	d below		Charg	je fee(s) ind	dicated below, ex	cept for th	e filing fee
Charge any additional fee(s) under 37 CFR 1		payment of	x Credit	any overpa	ayments		
FEE CALCULATION							
1. BASIC FILING, SEARCH, AND E	XAMINATION	FEES					
FI	LING FEES		ARCH FEES	EXAMIN	NATION FEES		
Application Type Fee (\$	Small Enti	<u>ty</u> Fee (\$	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees P	aid (\$)
Utility 300	150	500	250	200	100		
Design 200	100	100	50	130	65		
Plant 200	100	300	150	160	80		
Reissue 300	150	500	250	600	300		
Provisional 200	100	0	0	0	0		
2. EXCESS CLAIM FEES							Small Entity
Fee Description						Fee (\$)	Fee (\$)
Each claim over 20 (including Reiss Each independent claim over 3 (including Reiss)	•	-)				50 200	25 100
Multiple dependent claims	duling Reissues	·)				360	180
Total Claims Extra Claims	Fee (\$)	Fee F	Paid (\$)	M	ultiple Depende		100
-=	x =			_		ee Paid (\$)	1
							_
Indep. Claims Extra Claims	Fee (\$)	Fee F	Paid (\$)				
	*						
3. APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer							
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50							
sheets or fraction thereof. See 3			• •				
<u>Total Sheets</u> <u>Extra Sheet</u> 100 =	<u>Numb</u> /50	oer of each a	dditional 50 or fra (round up to a wh		<del>-</del>	<u>Fee P</u>	aid (\$)
4. OTHER FEE(S)  Fees Paid (\$)							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): 1251 Extension for response within first month 1051 Surcharge-Late oath or declaration							0.00 0.00
SUBMITTED BY	HAX		Registration No.	55.004	Talant	(047) 007	7.7400
Signature V/VV	/		(Attorney/Agent)	55,281	Telephone	(617) 227	
Name (Print/Type) Cristin E. Howley					Date	October 2	7, 2005

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Dated: October 27, 2005

Signature:

(Cristin E. Howley)



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Signature:

Dated: October 27, 2005

(Crietin E Howdey)

Docket No.: BBI-185US

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hans-Juergen Krause et al.

Application No.: 10/525292

Confirmation No.: 6073

Filed: February 16, 2005

Art Unit: N/A

For: FORMULATION OF HUMAN ANTIBODIES

FOR TREATING TNF-α ASSOCIATED

**DISORDERS** 

Examiner: Not Yet Assigned

## REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The Attorney Docket Number of the above-identified patent application has changed. Please take notice that the Attorney Docket Number for this application should now be **BBI-185US**. Please reference **BBI-185US** on all future correspondence.

No fee is believed due with this request. If a fee is occasioned, the Director is hereby authorized to charge any deficiencies to our Deposit Account No. 12-0080, under Order No. BBI-185US.

Dated: October 27, 2005

Respectfully submitted,

Cristin E. Howley

Registration No.: 55,281

LAHIVE & COCKFIELD, LLP

28 State Street

Boston, Massachusetts 02109

(617) 227-7400

(617) 742-4214 (Fax)

Agent For Applicant

Attorney Docket No.: BBI-185US

Telephone Number

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV553871379US in an envelope addressed to:

> **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

on _	October 27, 2005	<u> </u>
_	Date	
	Ont-	they -
		Signature
	Cri	stin E. Howley, Ph.D.
		name of person signing Certificate
	55 281	(617) 227-7400

Note:

Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal (3 pages)

Registration Number, if applicable

Fee Transmittal (1 page) in duplicate One Month Request for Extension of Time (1 page)

Request to Change Attorney Docket Number (1 page)

Copy of Notification of Missing Requirements (2 pages)

Response to Notification of Missing Requirements (2 pages)

Combined Declaration and Power of Attorney (3 pages)

Application Data Sheet (3 pages)

Certificate of Express Mailing (1 page)

Return Receipt Postcard

Charge \$250.00 to deposit account 12-0080





PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER BBI-185US

U.S. APPLICATION NO: (if known, see 37 CFR 1.5)

<ul> <li>CONCERNING A SUBMISSIC</li> </ul>	ON UNDER 35 U.S.C. 371	10/525292					
INTERNATIONAL APPLICATION NO. PCT/IB2003/004502	INTERNATIONAL FILING DATE 15 August 2003	PRIORITY DATE CLAIMED 16 August 2002					
TITLE OF INVENTION							
FORMULATION OF HUMAN ANTIBODIES FOR TREATING TNF-α ASSOCIATED DISORDERS							
APPLICANT(S) FOR DO/EO/US Hans-Juergen KRAUSE <i>et al.</i>							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. The US has been elected (Article 3	1).						
5. A copy of the International Applicat	ion as filed (35 U.S.C. 371 (c)(2))						
a. is attached hereto (required onl	y if not communicated by the Internation	nal Bureau).					
b. has been communicated by the	International Bureau.						
c. is not required, as the application	on was filed in the United States Receiv	ing Office (RO/US).					
6. An English language translation of	the International Application as filed (35	5 U.S.C. 371(c)(2)).					
a. is attached hereto.							
b. has been previously submitted	under 35 U.S.C. 154(d)(4).						
7. Amendments to the claims of the In	nternational Application under PCT Artic	de 19 (35 U.S.C. 371(c)(3))					
a. are attached hereto (required o	nly if not communicated by the Internati	onal Bureau).					
b. have been communicated by th	e International Bureau.						
c. have not been made; however,	the time limit for making such amendm	ents has NOT expired.					
d. have not been made and will no	ot be made.						
8. An English language translation of	the amendments to the claims under Po	CT Article 19 (35 U.S.C. 371(c)(3)).					
9. x An oath or declaration of the invent	or(s) (35 U.S.C. 371(c)(4)).	•					
10. An English language translation of Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the International Prelimi	nary Examination Report under PCT					
Items 11 to 20 below concern docum	nent(s) or information included:						
11 An Information Disclosure Statem	nent under 37 CFR 1.97 and 1.98.						
12. An assignment document for recon	ding. A separate cover sheet in complia	ance with 37 CFR 3.28 and 3.31 is included.					
13. A preliminary amendment.							
14. x An Application Data Sheet under	37 CFR 1.76.						
15. A substitute specification.							
16. A power of attorney and/or change	e of address letter.						
17. A computer-readable form of the s	sequence listing in accordance with PO	CT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 – 1.825.					
18. A second copy of the published In	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English lang	uage translation of the international ap	oplication under 35 U.S.C. 154(d)(4).					

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/525292			INTERNATIONAL APPLICATION NO. PCT/IB2003/004502			ATTORNEY'S DOCKET NUMBER BBI-185US		
	20. X Other items or information:  Request to Change Attorney Docket Number; Response to Missing Rec Copy of Notification of Missing Requirements; Fee Transmittal; Request of Time; Certificate of Express Mailing; Return Receipt Postcard								
	The following fees have been submitted						CALCULATION	S PTO USEONLY	
					)		\$300	\$	
	22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations \$200						\$		
	23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						\$		
			= 21, 22 and 23 =					\$ 0.0	0
	seque electro	nce listing in onic medium	compliance with 3 (37 CFR 1.492(j))	7 CFR	d in paper over 100 she 1.821(c) or (e) or compu s of paper or fraction the	ıter p	orogram listing in an		
	Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)								
,	- 100 =		/50 =	x \$250.00		x \$250.00	\$		
ł	Surcharge of \$1 after the date of	30 for furnis commencer	hing any of the sea ment of the national	rch fee, I stage	examination fee, or the (37 CFR 1.492(h)).	oath	n or declaration	\$ 130.0	0
	CLAIM		NUMBER FILE	)	NUMBER EXTRA	<u> </u>	RATE	-	
	Total clai	<del></del>	- 20 =		<del></del>	×		•	
	Independent		- 3 =	blo)		* +			
	Petition for One		LAIM(S) (if applicat	bie)		÷	120.00	120.0	n
	readon to one	WOITH EXTE	asion of time		TOTAL OF A			\$ 250.0	
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					φ 250.0			
					···········		SUBTOTAL =	\$ 250.0	10
	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$			
						TOT	AL NATIONAL FEE =	\$	250.00
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  TOTAL FEES ENCLOSED =					\$			
						\$	250.00		
	<del></del>							Amount to be refunded:	\$
01/2005 (	(FREY) 00000095 120080 10325292					Amount to be	\$		
C:1617	130,00 DA					charged			

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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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a. A check in the amount of \$ to cover the abov	e fees is enclosed.					
b. x Please charge my Deposit Account No. 12-0080 in the amo A duplicate copy of this sheet is enclosed.	unt of \$ 250.00 to cover the above fees.					
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0080 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on t should not be included on this form. Provide credit card information	his form may become public. Credit card information					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status.						
	$\wedge$					
	$M_{\star}$ $M_{\star}$					
SEND ALL CORRESPONDENCE TO:	SIGNATURE					
Giulio A. DeConti, Jr.	U					
LAHIVE & COCKFIELD, LLP 28 State Street	Cristia E. Havdey					
Boston, Massachusetts 02109	Cristin E. Howley NAME					
(617) 227-7400						
DATE: October 27, 2005	55,281					
CUSTOMER NUMBER: 00959	REGISTRATION NUMBER					



### United States Patent and Trademark Office

Sept. 27, 2005 - Trussing Reg.

JUNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office.

United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Vinginia 22313-1450 www.usplo.gov

I.A. FILING DATE

OC000000016614885\*

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/525,292 Hans-Juergen Krause BBC-166

INTERNATIONAL APPLICATION NO.

PCT/IB03/04502

John D Conway Abbott Bioresearch Center 100 Research Drive Worcester, MA 01605-4314 AUG 2 2005

08/15/2003 08/16/2002

CONFIRMATION NO. 6073
371 FORMALITIES LETTER

PRIORITY DATE

Lahove

Date Mailed: 07/27/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/16/2005
- Copy of Annexes to the IPER filed on 02/16/2005
- Preliminary Amendments filed on 02/16/2005
- Biochemical Sequence Diskette filed on 02/16/2005
- U.S. Basic National Fees filed on 02/16/2005
- Priority Documents filed on 02/16/2005

RECEIVED
LAHIVE & COCREJELD
DOCKET DEPT.

AUCOPADD

RETRIEVED
FORWARDED

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/525,292	PCT/IB03/04502	BBC-166

FORM PCT/DO/EO/905 (371 Formalities Notice)